

A Phase I/II, dose escalation study to assess the safety and tolerability of VAL201 in patients with locally advanced or metastatic prostate cancer and other advanced solid tumours



Patient characteristics

Recruitment Criteria:

- Patients with incurable, locally advanced or metastatic prostate cancer where a policy of intermittent hormone therapy has been decided
- Rising PSA on three samples; each over 2 weeks apart, with the last two values being greater than 2 ng/mL, at least 25% over the nadir.
- Absent or very mild prostate cancer-related symptoms.
- No plans for any therapy for prostate cancer in the next two months

Patients were retained on trial for up to 6 x 3 week cycles, unless removed earlier due to:

- Patient/clinician decision
- Adverse events (whether or not related to the treatment)
- Progressive disease
- Other treatment options became available to them outside of the trial

If removed for reasons other than progressive disease, progression was assessed at the final dosing visit by PCWG2 criteria

| Parameter | Mean | Range |
|------------------------------|-------|---------------|
| Age at enrolment (years) | 72.4 | 60 – 84 |
| Weight (kg) | 82.62 | 64.8 – 96.5 |
| BMI (kg/m ²) | 26.77 | 23.14 – 30.17 |
| Time since diagnosis (years) | 9.76 | 4.7 – 17.6 |

| Dose | Number of patients | Dose-limiting toxicity | Patients completing 6 cycles | Patients with no progressive disease |
|-----------|--------------------|------------------------|------------------------------|--------------------------------------|
| 0.5 mg/kg | 1 | 0 | 1 (100%) | 1 (100%) |
| 1 mg/kg | 1 | 0 | 1 (100%) | 0 |
| 2 mg/kg | 3 | 0 | 0 | 1 (33%) |
| 4 mg/kg | 5* | 0 | 2 (40%) | 3 (60%) |
| 8 mg/kg | 1 | 1 | 0 | 1 (100%) |
| TOTAL | 11 | 1 | 4 (36%) | 6 (55%) |

*Excludes 1 patient on 4 mg/kg that received only one dose

| Subject | Cancer Type | VAL201 | | | PCWG2 | | | 6 Cycles | |
|---------|-----------------|-----------|-------------------|--------------------|-------|---|----|-----------|-----------|
| | | Dose | Date of diagnosis | Stage at Screening | T | N | M | Responder | Completed |
| 101001 | Prostate Cancer | 0.5 mg/kg | NOV2009 | Metastatic | x | x | lb | Y | Y |
| 101003 | Prostate Cancer | 1 mg/kg | APR2007 | Locally Advanced | 2b | 0 | 0 | - | Y |
| 101005 | Prostate Cancer | 2 mg/kg | JUN2007 | Metastatic | 3b | 1 | 1c | - | - |
| 101006 | Prostate Cancer | 2 mg/kg | 1998 | Locally Advanced | x | x | x | - | - |
| 101007 | Prostate Cancer | 2 mg/kg | NOV2006 | Locally Advanced | 3a | 0 | 0 | Y | - |
| 101008 | Prostate Cancer | 4 mg/kg | 2007 | Metastatic | 0 | 0 | 1 | Y | - |
| 101009 | Prostate Cancer | 4 mg/kg | JUL1998 | Locally Advanced | 2c | 0 | 0 | - | - |
| 101010 | Prostate Cancer | 4 mg/kg | MAR2008 | Locally Advanced | 3 | 0 | 0 | - | - |
| 101011 | Prostate Cancer | 4 mg/kg | MAR2011 | Locally Advanced | 3b | 1 | 0 | Y | Y |
| 101012 | Prostate Cancer | 4 mg/kg | JUL2012 | Metastatic | 3b | 1 | 1 | Y | - |
| 101013 | Prostate Cancer | 8 mg/kg | 2009 | Locally Advanced | 3a | 0 | 0 | Y | - |
| 101014 | Prostate Cancer | 4 mg/kg | FEB2003 | Metastatic | X | 0 | 1C | - | Y |

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Disease Impact

Patients were defined as responding to the treatment by assessment using PCWG2 criteria. PCWG2 was assessed at regular time points during the trial. If no progressive disease was declared at any time that the patient was on the trial, the patient is declared a responder.

To meet PCWG2 criteria for non-progression (stable disease), patients must observe:

- PSA increase no greater than 25%
- Tumour size increase no greater than 20%
- No more than 1 additional metastatic lesion

Disease impact can be considered by the PSA biomarker, with the level of increase in PSA providing a surrogate measure for the level of increase in cancerous activity; and by direct measurement of tumour sizes (primary or metastatic) by MRI or CT scan.

| Subject | VAL201 Dose | Screening scan tumour location | Tumour size | Final cycle scan tumour location | Tumour size |
|---------|-------------|--------------------------------|-------------|----------------------------------|-------------|
| 101001 | 0.5 mg/kg | Seminal Vesicle | 28 mm | Seminal Vesicle | 28 mm |
| 101003 | 1 mg/kg | None measurable | | None measurable | |
| 101005 | 2 mg/kg | None measurable | | None measurable | |
| 101006 | 2 mg/kg | None measurable | | None measurable | |
| 101007 | 2 mg/kg | None measurable | | None measurable | |
| 101008 | 4 mg/kg | None measurable | | None measurable | |
| 101009 | 4 mg/kg | None measurable | | None measurable | |
| 101010 | 4 mg/kg | None measurable | | None measurable | |
| 101011 | 4 mg/kg | None measurable | | None measurable | |
| 101012 | 4 mg/kg | None measurable | | None measurable | |
| 101013 | 8 mg/kg | Mesorectal Space | 49 mm | Mesorectal Space | 55 mm |
| 101014 | 4 mg/kg | Lung Lesion 1 | 22 mm | Lung Lesion 1 | 20 mm |
| | | Lung Lesion 2 | 17 mm | Lung Lesion 2 | 16 mm |

| Subject | VAL201 Dose | Pre-treatment PSA doubling time (days) | Post-treatment PSA doubling time (days) | Maximal PSA decline |
|----------------|-------------|--|---|---------------------|
| 101001 | 0.5 mg/kg | 68.4 | 638 | N/A |
| 101003 | 1 mg/kg | 623.1 | 191.2 | N/A |
| 101005 | 2 mg/kg | 26.6 | 59.6 | N/A |
| 101006 | 2 mg/kg | 28.3 | 48.8 | N/A |
| 101007 | 2 mg/kg | 298.6 | 336.9 | N/A |
| 101008 | 4 mg/kg | 36.8 | N/A* | 50% |
| 101009 | 4 mg/kg | 87.4 | 310.5 | N/A |
| 101011 | 4 mg/kg | 455.9 | 7965 | 22%** |
| 101012 | 4 mg/kg | 118.9 | 281.7 | N/A |
| 101013 | 8 mg/kg | 7.9 | 205.7 | N/A |
| 101014 | 4 mg/kg | 154.0 | 387.3 | N/A |
| Mean*** | | 186.91 | 1030.67 | |

*Doubling time is "infinite" as PSA was consistently decreasing, excluded from Mean

**PSA decreased initially, but overall high doubling time shows it levelled at the baseline screening level

***Post treatment doubling time is higher than pre-treatment doubling time, with statistical significance of p<0.05 using 2-tailed Wilcoxon Signed-Rank Test for paired samples

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Safety and Tolerability

VAL201 was well tolerated with the single dose-limiting toxicity being hypertension in the 8 mg/kg group in a patient with pre-existing hypertension. The patient received additional treatment for their hypertension and continued on the trial at the same dose of VAL201.

No Maximum Tolerated Dose was established, and all dose levels remain available for future testing if required.

Pharmacokinetic profiles were obtained from only the final two patients, with increased dose showing increased exposure as expected. These patients were the only patients to be dosed after a protocol amendment which enabled a more sensitive analytical procedure to be implemented.

Levels of patient exposure to VAL201 showed it to be well absorbed following the subcutaneous administration.

The pharmacokinetic data shown as a mean value of at least 3 separate blood collection profiles for each patient with error bars showing the Standard Error.

| Event | 0.5 mg/kg (N=1) n (%) | 1 mg/kg (N=1) n (%) | 2 mg/kg (N=3) n (%) | 4 mg/kg (N=6) n (%) | 8 mg/kg (N=1) n (%) | Total (N=12) n (%) |
|---|-----------------------------|---------------------------|---------------------------|---------------------------|---------------------------|--------------------------|
| General disorders and administration site conditions | 1 (100.0) | 1 (100.0) | 3 (100.0) | 5 (83.3) | 1 (100.0) | 11 (91.7) |
| Fatigue | 0 | 0 | 3 (100.0) | 2 (33.3) | 0 | 5 (41.7) |
| Injection site rash | 0 | 0 | 0 | 5 (83.3) | 0 | 5 (41.7) |
| Injection site reaction | 1 (100.0) | 1 (100.0) | 2 (66.7) | 1 (16.7) | 0 | 5 (41.7) |
| Injection site pain | 0 | 0 | 0 | 2 (33.3) | 1 (100.0) | 3 (25.0) |
| Injection site erythema | 0 | 0 | 0 | 1 (16.7) | 1 (100.0) | 2 (16.7) |
| Gastrointestinal disorders | 0 | 0 | 0 | 1 (16.7) | 0 | 1 (8.3) |
| Dyspepsia | 0 | 0 | 0 | 1 (16.7) | 0 | 1 (8.3) |
| Musculoskeletal and connective tissue disorders | 0 | 0 | 0 | 1 (16.7) | 0 | 1 (8.3) |
| Muscle Spasm | 0 | 0 | 0 | 1 (16.7) | 0 | 1 (8.3) |
| Vascular disorders | 0 | 0 | 0 | 1 (16.7) | 1 (100.0) | 2 (16.7) |
| Hypertension | 0 | 0 | 0 | 1 (16.7) | 1 (100.0) | 2 (16.7) |
| Cardiac disorders | 0 | 0 | 0 | 1 (16.7) | 0 | 1 (8.3) |
| Bradycardia | 0 | 0 | 0 | 1 (16.7) | 0 | 1 (8.3) |

| | Patient 101013 | Patient 101014 |
|----------------------|-------------------|-------------------|
| Dose | 8 mg/kg | 4 mg/kg |
| t _{max} | 31 mins | 40 mins |
| C _{max} | 3323 ng/ml | 2205 ng/ml |
| t _{1/2} | 59 min | 40 min |
| AUC _{0-inf} | 5.0 ug/ml*h | 3.8 ug/ml*h |

